


<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC5156PCT1	
In Re Application Of: <span style="float: right;">LILES et. al</span>					
Application No.	Filing Date	Examiner	Customer No. 00137	Group Art Unit	Confirmation No.
Title: Silicone Oil-in-Water (o/w) Emulsion Compositions Useful for Water Repellent Applications					
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-left: 100px;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

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<p style="text-align: center;"><b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 04-1520 as described below.</p> <p style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ \$  <input type="checkbox"/> Credit any overpayment.  <input type="checkbox"/> Charge any additional fee required.         </p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="text-align: center;"><b>Certificate of Transmission by Facsimile*</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             _____ (Date)           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             _____ Signature           </div> <div style="border: 1px solid black; padding: 5px;">             _____ Typed or Printed Name of Person Signing Certificate           </div> </div> <div style="width: 48%;"> <p style="text-align: center;"><b>Certificate of Mailing by First Class Mail</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a) _____]           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             _____ (Date)           </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">             _____ Signature of Person Mailing Correspondence Christine M. Fitak           </div> <div style="border: 1px solid black; padding: 5px;">             _____ Typed or Printed Name of Person Mailing Certificate           </div> </div> </div> <p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p style="text-align: center;">               _____              Signature           </p> <p>             Alan Zornbeck              Reg. No. 45,260              989-496-3101              Patent Agent              Mail Number C01232              P.O. Box 994              2200 W. Salzburg Road              Midland, MI 48686-0994              UNITED STATES OF AMERICA           </p> </div> <div style="width: 45%;"> <p>Dated: 1/20/2006</p> <p>CustomerNumber 00137</p> </div> </div> <p>cc:</p>					

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

### Complete if Known

Application Number	
Filing Date	
First Named Inventor	LILES
Art Unit	
Examiner Name	
Attorney Docket Number	DC5156 PCT1

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2,676,182	04-20-1954	Herbert, et. al.	
		US- 4,310,678	01-12-1982	Blizzard, et. al.	
		US- 4,423,095	12-27-1983	Blizzard	
		US- 5,074,912	12-24-1991	Liles, et. al.	
		US- 5,356,585	07-06-1999	Be, et. al.	
		US- 6,323,268 B1	11-27-2001	Fisher, et. al.	
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### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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